

### Problems

4.1 [1] Show that in a frame that rotates with constant angular velocity  $\Omega$ , with  $\Phi_{\text{eff}} \equiv \Phi - \frac{1}{2}|\Omega \times \mathbf{r}|^2$ , the collisionless Boltzmann equation can be written

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f - [2(\Omega \times \mathbf{v}) + \nabla \Phi_{\text{eff}}] \cdot \frac{\partial f}{\partial \mathbf{v}} = 0. \tag{4.284}$$

Hint: see §3.3.2.

4.2 [2] Consider an infinite homogeneous system of collisionless zero-mass test particles in  $D$ -dimensional space. The particles have an isotropic velocity distribution  $f(v)$ . Initially the particles are subject to no forces. At  $t = 0$  a gravitational potential well suddenly appears in a finite region of the space. Show that as  $t \rightarrow \infty$ , the density of unbound particles traveling through the well is smaller than the asymptotic density if  $D = 1$ , larger if  $D = 3$ , and unchanged if  $D = 2$ .

4.3 [1] A spherical mass distribution is immersed in a sea of collisionless test particles, which arrive with velocities  $\mathbf{v} = (v, 0, 0)$  from the negative  $x$ -direction and are scattered by the gravitational field from the mass. Does the DF of the test particles satisfy the Jeans theorem? If so, write down the DF as a function of the integrals of motion; if not, explain why the Jeans theorem fails.

4.4 [1] Prove that the density of a spherical, ergodic, self-consistent stellar system must decrease outward. Hint: in the integral for  $\rho$  make  $\Phi$  the integration variable.

4.5 [3] A spherical galaxy with a constant mass-to-light ratio and an ergodic DF has an  $R^{1/4}$  surface-brightness profile (eq. 1.17 with  $m = 4$ ). The luminosity-weighted line-of-sight velocity dispersion within the effective radius  $R_e$  is  $\sigma_e$ , that is

$$\sigma_e^2 = \frac{\int_0^{R_e} dR R I(R) \sigma_{\parallel}^2(R)}{\int_0^{R_e} dR R I(R)}. \tag{4.285}$$

Show that the total mass of the galaxy can be written in the form

$$M = k \frac{\sigma_e^2 R_e}{G}, \tag{4.286}$$

and evaluate  $k$  numerically.

4.6 [2] The DF of a spherical system is proportional to  $L^\gamma f(\mathcal{E})$ . Show that at all radii the anisotropy parameter is  $\beta = -\frac{1}{2}\gamma$ .

4.7 [1] Show that a Hernquist model with constant anisotropy  $\beta = \frac{1}{2}$  has

$$N(E) = \frac{3a}{GM} \tilde{\mathcal{E}}^2 \left( \frac{1}{\tilde{\mathcal{E}}} - 1 \right)^2, \tag{4.287}$$

where  $\tilde{\mathcal{E}} = \mathcal{E}a/(GM)$  and  $M$  and  $a$  are the mass and scale radius of the Hernquist model.

4.8 [2] Consider a spherical system with DF  $f(\mathcal{E}, L)$ . Let  $N(\mathcal{E}, L)d\mathcal{E}dL$  be the fraction of stars with  $\mathcal{E}$  and  $L$  in the ranges  $(\mathcal{E}, \mathcal{E} + d\mathcal{E})$  and  $(L, L + dL)$ .

(a) Show that

$$N(\mathcal{E}, L) = 8\pi^2 L f(\mathcal{E}, L) T_r(\mathcal{E}, L), \tag{4.288}$$

where  $T_r$  is the radial period defined by equation (3.17).

(b) A spherical system of test particles with ergodic DF surrounds a point mass. Show that the fraction of particles with eccentricities in the range  $(e, e + de)$  is  $2e de$ .

4.9 [1] Consider the DF

$$f(\mathcal{E}, L) = \begin{cases} F\delta_+(L^2)(\mathcal{E} - \mathcal{E}_0)^{-1/2} & (\mathcal{E} > \mathcal{E}_0) \\ 0 & (\mathcal{E} \leq \mathcal{E}_0), \end{cases} \quad (4.289a)$$

where  $F$  and  $\mathcal{E}_0$  are constants. Here  $\delta_+(x) \equiv \delta(x - \epsilon)$ , where  $\epsilon$  is a small positive number; thus  $\delta_+(x) = 0$  for  $x \neq 0$  and  $\int_0^\infty dx \delta_+(x) = 1$  (cf. Appendix C.1). Show that this DF self-consistently generates a model with density

$$\rho(r) = \begin{cases} Cr^{-2} & (r < r_0) \\ 0 & (r \geq r_0), \end{cases} \quad (4.289b)$$

where  $C$  is a constant and  $\Psi(r_0) = \mathcal{E}_0$  (Fridman & Polyachenko 1984).

4.10 [2] Consider a spherical system in which at every radius the star density in velocity space is constant on ellipsoidal figures of rotation. Show that the DF has the form  $f = f(Q)$ , where  $Q(\mathcal{E}, L)$  is defined by equation (4.73).

4.11 [2] Prove that the following DF generates a stellar system in which the density distribution is that of a homogeneous sphere of density  $\rho$  and radius  $a$ :

$$f(E, L) = \frac{9}{16\pi^4 G\rho a^5} \frac{1}{\sqrt{L^2/a^2 + \frac{4}{3}\pi G\rho a^2 - 2E}} \quad (L^2 < \frac{4}{3}\pi G\rho a^4). \quad (4.290)$$

Here it is understood that  $f = 0$  when the argument of the square root is not positive, the DF is normalized so that  $\int d^3\mathbf{x}d^3\mathbf{v} f = 1$ , the potential  $\Phi = 0$  at  $r = 0$ , and the system is isolated (Polyachenko & Shukhman 1973).

4.12 [2] Show that the Osipkov–Merritt model that self-consistently generates the Jaffe model has DF

$$f(Q) = \frac{1}{2\pi^3(GMa)^{3/2}} \left[ F_- \left( \sqrt{2\tilde{Q}} \right) - \sqrt{2}F_- \left( \sqrt{\tilde{Q}} \right) - \sqrt{2} \left( 1 + \frac{a^2}{2r_a^2} \right) F_+ \left( \sqrt{\tilde{Q}} \right) + \left( 1 + \frac{a^2}{r_a^2} \right) F_+ \left( \sqrt{2\tilde{Q}} \right) \right], \quad (4.291)$$

where  $\tilde{Q} = aQ/(GM)$ .

4.13 [2] Show that when the DF of a spherical system depends only on the function  $Q(\mathbf{x}, \mathbf{v})$  defined by equation (4.73), the ratio of the mean-square tangential and radial speeds is

$$\frac{v_t^2}{v_r^2} = \frac{2}{1 + (r/r_a)^2}. \quad (4.292)$$

4.14 [1] A self-consistent stellar system has an ergodic DF and a power-law density profile  $\rho = \rho_0(r_0/r)^\alpha$  with  $1 < \alpha < 3$ . Show that the velocity dispersion is given by

$$v_r^2(r) = \frac{2\pi G\rho_0 r_0^\alpha r^{2-\alpha}}{(3-\alpha)(\alpha-1)} \quad (\alpha \neq 2). \quad (4.293)$$

What does this formula become in the case  $\alpha = 2$  of the singular isothermal sphere?

4.15 [1] Solve the Lane–Emden equation (4.91b) for the case  $n = 1$ , to show that

$$\psi = \begin{cases} \frac{\sin \sqrt{3}s}{\sqrt{3}s} & (s < \pi/\sqrt{3}) \\ \frac{\pi}{\sqrt{3}s} - 1 & (s \geq \pi/\sqrt{3}). \end{cases} \quad (4.294)$$

Show that the model's total mass is  $M = \frac{1}{2}\Psi_0 G^{-3/2} \sqrt{\pi/c_1}$ , where  $c_1$  is defined by equation (4.85b).

**4.16** [1] Consider a stellar system having a DF of the form  $f \propto \mathcal{E}^{-1/2}$  for  $\mathcal{E} > 0$  and zero otherwise. The density and potential are related by  $\rho = c_1 \Psi$  for  $\Psi > 0$ , and zero otherwise (eq. 4.85). Prove that Poisson's equation is satisfied if the density of the system has the form

$$\rho(x, y, z) = A \cos\left(\frac{\pi x}{2L}\right) \cos\left(\frac{\pi y}{2L}\right) \cos\left(\frac{\pi z}{2L}\right), \quad (4.295)$$

for  $|x|, |y|, |z| \leq L$  and zero otherwise, where  $L^2 = 3\pi/(16Gc_1)$ . Does this mean we can construct a cubical galaxy?

**4.17** [2] An extension to the polytropes described in §4.3.3a is obtained by considering spherical stellar systems with the DF

$$f(E) = FE^{-n-3/2} \quad (E \geq 0); \quad (4.296)$$

where  $f$  is defined so that  $\int d^3\mathbf{v} f = \rho$  and the potential is defined so that  $\Phi = 0$  at the center of the system.

(a) Show that the density satisfies

$$\rho = d_n \Phi^{-n} \quad (\Phi > 0), \quad (4.297)$$

and evaluate  $d_n$ . What values of  $n$  are allowed?

(b) Show that the dimensionless radius  $s \equiv r/b$  and potential  $\phi \equiv \Phi/\Phi_0$  satisfy the equation

$$\frac{1}{s^2} \frac{d}{ds} \left( s^2 \frac{d\phi}{ds} \right) = 3\phi^{-n}, \quad (4.298)$$

where  $\Phi_0$  is arbitrary and  $b \equiv \left(\frac{4}{3}\pi G \Phi_0^{-n-1} d_n\right)^{-1/2}$ . What is the stellar system described by these equations in the limit  $n \rightarrow \infty$ ?

(c) Show that these equations admit power-law solutions of the form  $\rho \propto r^{-\alpha}$  for  $n > 0$ , with  $\alpha = 2n/(1+n)$  so  $0 < \alpha \leq 2$ .

(d) In Problem 4.14 we found self-consistent power-law stellar systems with  $1 < \alpha < 3$ . Why does the current approach not find the systems with  $2 < \alpha < 3$ ? Why does the approach in Problem 4.14 not find the solutions with  $0 < \alpha \leq 1$ ?

**4.18** [1] For a Maxwellian distribution of velocities with one-dimensional dispersion  $\sigma$ , show that: (a) the mean speed is  $\bar{v} = (8/\pi)^{1/2}\sigma$ ; (b) the mean-square speed is  $\overline{v^2} = 3\sigma^2$ ; (c) the mean-square of one component of velocity is  $\overline{v_x^2} = \sigma^2$ ; (d) the mean-square relative speed of any two particles is  $\overline{v_{rel}^2} = 6\sigma^2$ ; (e) the fraction of particles with  $v^2 > 4\overline{v^2}$  is 0.00738.

**4.19** [1] At large radii, the density in a Michie model (eq. 4.117) is dominated by stars with  $\mathcal{E}/\sigma^2 \ll 1$ . In this case, show that the density can be written in the form

$$\rho \propto \int_0^{\sqrt{2\Psi}} dv_t v_t \exp\left(-\frac{r^2 v_t^2}{2r_a^2 \sigma^2}\right) (2\Psi - v_t^2)^{3/2}. \quad (4.299)$$

Hence show that as the tidal radius  $r_t$  is approached,  $\rho$  tends to zero as

$$\rho \propto \Psi^{5/2} \propto (r_t - r)^{5/2}. \quad (4.300)$$

**4.20** [1] Show that in a Kepler potential, the Schwarzschild DF (4.153) is equivalent to the **Rayleigh distribution** of eccentricities and inclinations,

$$dn \propto ei \exp\left(-\frac{e^2}{e_0^2} - \frac{i^2}{i_0^2}\right) de di. \quad (4.301)$$

What is the relation of  $e_0^2$  to  $\sigma_R^2$  and  $i_0^2$  to  $\sigma_z^2$ ?

**4.21** [2] We may study the vertical structure of a thin axisymmetric disk by neglecting all radial derivatives and assuming that all quantities vary only in the coordinate  $z$  normal to the disk. Thus we adopt the form  $f = f(E_z)$  for the DF, where  $E_z \equiv \frac{1}{2}v_z^2 + \Phi(z)$ . Show that for an isothermal disk in which  $f = \rho_0(2\pi\sigma_z^2)^{-1/2} \exp(-E_z/\sigma_z^2)$ , the approximate form (2.74) of Poisson's equation may be written

$$2 \frac{d^2\phi}{dz^2} = e^{-\phi}, \quad \text{where } \phi \equiv \frac{\Phi}{\sigma_z^2}, \quad \zeta \equiv \frac{z}{z_0}, \quad \text{and } z_0 \equiv \frac{\sigma_z}{\sqrt{8\pi G\rho_0}}. \quad (4.302a)$$

By solving this equation subject to the boundary conditions  $\phi(0) = \phi'(0) = 0$ , show that the density in the disk is given by (Spitzer 1942)

$$\rho(z) = \rho_0 \operatorname{sech}^2(\frac{1}{2}z/z_0). \quad (4.302b)$$

Show further that the surface density of the disk is

$$\Sigma = \frac{\sigma_z^2}{2\pi G z_0} = 4\rho_0 z_0. \quad (4.302c)$$

**4.22** [3] Determine the density  $\rho(z)$  of an isothermal distribution of stars with dispersion  $\sigma_z$  in a disk that also contains a razor-thin layer of gas in the midplane, with surface density  $\Sigma_g$ . Hint: generalize the results of Problem 4.21.

**4.23** [2] Using the one-dimensional approximation of Problem 4.21, write a numerical procedure that finds the fraction  $F(z)$  of stars that reach a maximum height above the midplane that exceeds  $z$ . Show that  $F(z_0) = 0.808$ . Find  $F(2z_0)$ .

**4.24** [3] Every star in a spherical system loses mass slowly and isotropically. If the initial DF is  $f_0(\mathcal{E}, L)$ , show that after every star has been reduced to a fraction  $p$  of its original mass, the DF will be

$$f_p(\mathcal{E}, L) = f_0(p^{-2}\mathcal{E}, L). \quad (4.303)$$

How has the density profile of the system changed? Hint: see Richstone & Potter (1982).

**4.25** [2] A spherical stellar system has surface brightness  $I(R)$ , line-of-sight velocity dispersion  $\sigma_{||}(R)$ , and constant mass-to-light ratio. From these functions, together with the Jeans equations, can we deduce uniquely the luminosity density  $j(r)$ , the radial dispersion profile,  $\sigma_r^2(r)$ , and the anisotropy parameter  $\beta(r)$ ? Hints: (i) consider a change in the dispersions of the form  $\Delta\sigma_r^2 = 2\epsilon/(jr^3)$ ,  $\Delta\sigma_\theta^2 = -\frac{1}{2}\Delta\sigma_r^2$ ; (ii) the answer may depend on whether  $I(R)$  and  $\sigma_{||}(R)$  are known over a finite range of radii or at *all* radii (see Dejonghe & Merritt 1992).

**4.26** [3] A finite, spherical stellar system of test particles is confined by the potential  $\Phi(r) = v_c^2 \ln r$ .

(a) If the DF is ergodic, prove that the number of stars with line-of-sight velocity in the interval  $(v_{||}, v_{||} + dv_{||})$  is  $n(v_{||}) dv_{||}$ , where

$$n(v_{||}) \propto \exp\left(-3v_{||}^2/2v_c^2\right). \quad (4.304)$$

(b) If the DF is radial, that is, if all of the test particles have zero angular momentum, prove that the distribution of line-of-sight velocities is given by

$$n(v_{||}) \propto E_1\left(v_{||}^2/2v_c^2\right), \quad (4.305)$$

where  $E_1$  is the exponential integral.

**4.27** [1] Show that a self-gravitating isothermal stellar system with velocity dispersion  $\sigma$ , cylindrical symmetry, and non-singular, non-zero density  $\rho_0$  at  $R = 0$  has the density distribution

$$\rho(R, \phi, z) = \rho_0 \left(1 + \frac{\pi G \rho_0 R^2}{2\sigma^2}\right)^{-2}. \quad (4.306)$$

**4.28** [1] In a spherical stellar system with mass profile  $M(r)$ , a stellar population with number density  $n(r)$  has anisotropy parameter (4.61) of the form  $\beta(r) = r^2/(r_a^2 + r^2)$ , where  $r_a$  is a constant. Show that

$$\overline{v_r^2}(r) = \frac{G \int_r^\infty dr' [(r_a/r')^2 + 1] n(r') M(r')}{(r_a^2 + r^2) n(r)}. \quad (4.307)$$

**4.29** [2] Let us write the general  $n$ th-order velocity moment in spherical coordinates in the form  $\overline{v_\theta^{n-j} v_\phi^{j-k} v_r^k}$ . Prove that when  $f = f(H)$  (i) the moment vanishes if any of  $j$ ,  $k$ , or  $n$  is odd; (ii) if  $j$ ,  $k$ , and  $n$  are all even, then

$$\overline{v_\theta^{n-j} v_\phi^{j-k} v_r^k} = \overline{v_r^n} \frac{\left(\frac{k-1}{2}\right)! \left(\frac{n-j-1}{2}\right)! \left(\frac{j-k-1}{2}\right)!}{\pi \left(\frac{n-1}{2}\right)!}. \quad (4.308)$$

**4.30** [2] When the DF of an axisymmetric system has the form  $f(H, L_z)$ , show that the  $n$ th-order velocity moments are related by

$$\overline{v_R^{n-j+2} v_z^{j-k-2} v_\phi^k} = \frac{n-j+1}{j-k-1} \overline{v_R^{n-j} v_z^{j-k} v_\phi^k}, \quad (4.309)$$

where  $j$ ,  $k$ , and  $n$  are all even. Moments that contain odd powers of either  $v_R$  or  $v_z$  vanish. Hence the independent non-vanishing  $n$ th order moments may be taken to be  $\overline{v_\phi^n}$ ,  $\overline{v_\phi^{n-2} v_R^2}$ ,  $\overline{v_\phi^{n-4} v_R^4}$ , ...

**4.31** [1] Show that in a stellar-dynamical polytrope  $\overline{v_r^2} \propto \Psi$ . Show that for a Plummer model the coefficient of proportionality is  $\frac{1}{6}$ .

**4.32** [2] The velocity dispersion in some axisymmetric stellar system is isotropic and a function  $\sigma(\rho)$  of the density alone. Show that the mean azimuthal velocity must be a function  $\overline{v_\phi}(R)$  of the cylindrical radius  $R$  only. Is this configuration physically plausible?

**4.33** [2] A static, spherically symmetric stellar system with ergodic DF is confined by a spherical vessel of radius  $r_b$ . Show that  $2K + W = 4\pi r_b^3 p$ , where  $K$  and  $W$  are the system's kinetic and potential energies, and  $p = \overline{\rho v_r^2}$  is the pressure exerted by the system on the vessel's walls.

**4.34** [1] Suppose the principal axes of the velocity ellipsoid near the Sun are always parallel to the unit vectors of spherical coordinates. Then show that for  $|z|/R$  small,  $\overline{v_R v_z} \simeq (\overline{v_r^2} - \overline{v_\theta^2})(z/R)$ .

**4.35** [1] A stationary stellar system of negligible mass and finite extent is confined by the potential  $\Phi(r) = v_c^2 \ln r + \text{constant}$ .

(a) Prove that the mean-square velocity is  $\langle v^2 \rangle = v_c^2$ , independent of the shape, radial profile, or other properties of the stellar system. Hint: as in the derivation of the virial theorem, consider the behavior of  $d^2I/dt^2$ , where in this case  $I = r^2$ .

(b) The singular isothermal sphere has the same potential (eq. 4.104), but in this system the mean-square velocity is  $\langle v^2 \rangle = 3\sigma^2 = \frac{3}{2}v_c^2$ . How is this consistent with the result of part (a)?

**4.36** [2] The energy per unit mass of a star in a stationary stellar system can be written  $\epsilon = \frac{1}{2}v^2 + \Phi$ , where  $v$  is the speed of the star and  $\Phi(\mathbf{x})$  is the gravitational potential. Prove that the total energy of the stellar system is

$$\tilde{E} = \frac{1}{3} M \langle \epsilon \rangle, \quad (4.310)$$

where  $M$  is the total mass of the system and  $\langle \cdot \rangle$  denotes a mass-weighted average over the stars.

**4.37** [1] Show that the part of equation (4.239) that is antisymmetric in  $j$  and  $k$  is equivalent to the law of conservation of angular momentum.

**4.38** [1] Show that in the presence of an externally generated gravitational potential  $\Phi_{\text{ext}}$ , the right side of equation (4.247) acquires an extra term:

$$V_{jk} \equiv -\frac{1}{2} \int d^3\mathbf{x} \left( x_k \frac{\partial \Phi_{\text{ext}}}{\partial x_j} + x_j \frac{\partial \Phi_{\text{ext}}}{\partial x_k} \right) \rho. \quad (4.311)$$

**4.39** [2] In this problem we use the tensor virial theorem to connect the shape of a bar, its pattern speed, and the extent to which there is less motion parallel to the axis of figure rotation than in the perpendicular directions. Let the  $z$  axis coincide with a principal axis of the tensor  $\mathbf{I}$  (eq. 4.243), and suppose that the density distribution is stationary in a frame that rotates about this axis with angular frequency  $\Omega$ . Show that at an instant when  $I_{xy} = 0$ , the left side of equation (4.247) is  $\Omega^2$  times the diagonal tensor with components  $(I_{yy} - I_{xx})$ ,  $(I_{xx} - I_{yy})$ , and 0 along the diagonal. Hence show that

$$\Omega^2 = -\frac{(W_{xx} - W_{yy}) + 2(T_{xx} - T_{yy}) + (\Pi_{xx} - \Pi_{yy})}{2(I_{xx} - I_{yy})}, \quad (4.312a)$$

and if  $T_{zz} = 0$ ,

$$\frac{v_0^2}{\sigma_0^2} = (1 - \delta) \frac{W_{xx} + W_{yy}}{W_{zz}} - 2, \quad (4.312b)$$

where  $v_0^2 \equiv 2(T_{xx} + T_{yy})/M$ ,  $\sigma_0^2 \equiv (\Pi_{xx} + \Pi_{yy})/2M$  and  $(1 - \delta)(\Pi_{xx} + \Pi_{yy}) \equiv 2\Pi_{zz}$ .

**4.40** [1] Suppose that the Oort limit has been determined as described in §4.9.3 from observations of stars whose distances have been systematically overestimated by a factor  $\lambda$ . By what factor is the derived local mass density  $\rho(0)$  in error, if the kinematics are derived from (a) radial velocities; (b) proper motions?

**4.41** [2] Consider a hypothetical disk galaxy in which all the mass is contained in a central point mass. The disk density is negligible; more precisely, the disk consists of a population of stars of zero mass with RMS  $z$ -velocity  $\sigma_z$  that is independent of  $z$ . At radius  $R$ , the number density of these stars as a function of  $z$  is  $\nu(z) = \nu(0) \exp(-z^2/2z_0^2)$ , where  $z_0 \ll R$  is a constant. (a) What is the relation between  $\sigma_z$  and  $z_0$ ? (b) What does equation (4.273) predict for the local mass density if these stars are used as tracers? Why is the wrong answer obtained?

**4.42** [2] Consider a time-independent, self-gravitating collisionless stellar system with slab symmetry, that is, a system in which the density  $\rho$  depends only on a single coordinate  $z$ . Prove that the system must be symmetric, that is, with a suitable choice of the coordinate origin  $\rho(z) = \rho(-z)$ .

**4.43** [3] A natural model DF for a razor-thin axisymmetric disk is given by equation (4.147),

$$f(H, L_z) = S(L_z) \exp[-\Delta/\sigma_R^2(L_z)], \quad (4.313)$$

where  $\Delta = H - E_c(L_z)$  and  $E_c(L_z)$  is the energy of a circular orbit with angular momentum  $L_z$ . For a disk with surface density  $\Sigma(R) \propto \exp(-R/R_d)$ , in a potential with a constant circular speed  $v_0$ , we may take  $\sigma_R^2(L_z) \propto \exp[-L_z/(R_d v_0)]$  and  $S(L_z) \propto \Sigma(L_z/v_0)/\sigma_R^2(L_z) \propto \text{constant}$  (see eq. 4.156 and the following discussion). With these assumptions, the DF in the solar neighborhood, at radius  $R = R_0$ , depends on the dimensionless parameters  $\xi \equiv R_0/R_d$  and  $b \equiv \sigma_R(R_0 v_0)/v_0$ , which is  $\ll 1$  in a cool disk. Show that in the solar neighborhood

$$\begin{aligned} \bar{v}_\phi &= v_0 + \left(\frac{1}{4} - \xi\right) \frac{w}{v_0} + \left(\frac{1}{32} + \frac{5}{12}\xi + \frac{3}{2}\xi^2 - \frac{9}{8}\xi^3\right) \frac{w^2}{v_0^3} + O(w^3), \\ \overline{(v_\phi - \bar{v}_\phi)^2} &= \frac{1}{2} w^2 - \left(\frac{1}{8} + \xi - \frac{5}{4}\xi^2\right) \frac{w^2}{v_0^2} + O(w^3), \end{aligned} \quad (4.314)$$

where  $w \equiv \overline{v_R^2}$ . Relate the  $O(w)$  terms to epicycle theory (§3.2.3) and Stromberg's asymmetric drift equation (§4.8.2a). The  $O(w^2)$  terms provide convenient analytic estimates for the errors incurred in using the epicycle and Stromberg approximations. Hint: use computer algebra.

4.44 [2] (a) By taking a suitable moment of the collisionless Boltzmann equation, show that in a steady-state axisymmetric galaxy

$$\frac{\partial(\nu \overline{v_R^2 v_\phi})}{\partial R} + \frac{\partial(\nu \overline{v_R v_z v_\phi})}{\partial z} - \frac{\nu}{R} \left( \overline{v_\phi^3} - \overline{v_\phi} R \frac{\partial \Phi}{\partial R} \right) + \frac{2\nu}{R} \overline{v_R^2 v_\phi} = 0. \tag{4.315}$$

(b) Given that the system is symmetric in  $z$ , and that all odd moments of  $v_\phi - \overline{v_\phi}$  vanish, so  $0 = \overline{v_R^2 (v_\phi - \overline{v_\phi})}$  and  $0 = \overline{(v_\phi - \overline{v_\phi})^3}$ , etc., show that at  $z = 0$

$$\overline{v_R^2} \left( \frac{\partial \overline{v_\phi}}{\partial R} + \frac{\overline{v_\phi}}{R} \right) - \frac{2}{R} \overline{v_\phi} \overline{(v_\phi - \overline{v_\phi})^2} = 0. \tag{4.316}$$

Hence using equation (4.222a), show that (cf. eq. 3.100)

$$\frac{\sigma_\phi^2}{\sigma_R^2} \equiv \frac{\overline{(v_\phi - \overline{v_\phi})^2}}{\overline{v_R^2}} \simeq \frac{-B}{A - B}, \tag{4.317}$$

where  $A$  and  $B$  are the Oort constants (eq. 3.83). What is the most questionable assumption made in this derivation? Explain why violations of equation (4.317) increase with  $\sigma_R$ , and compare this result to the results of Problem 4.43.

4.45 [3] A rotating axisymmetric stellar system has a star density in velocity space that is constant on ellipsoids, that is, the DF at a given position depends on velocity  $\mathbf{v}$  only through the combination  $Q = \sum_{i,j} s_{ij} (v_i - \overline{v}_i)(v_j - \overline{v}_j)$ , where  $\overline{\mathbf{v}} = \overline{v_\phi} \hat{\mathbf{e}}_\phi$  is the mean azimuthal velocity.<sup>22</sup>

(a) If the DF depends only on  $\mathcal{E}$  and  $L_z$ , prove that the rotation curve must have the form

$$\overline{v_\phi}(R, z) = \frac{R}{a + bR^2}, \tag{4.318}$$

where  $a$  and  $b$  are constants.

(b) If the velocity distribution is isotropic (constant on spheres in velocity space), so  $s_{ij} = s\delta_{ij}$ , prove that the system rotates at constant angular velocity, that is, the constant  $b$  in equation (4.318) is zero.

(c) Prove that result (b) holds for any stationary DF, even if it depends on a third integral.

<sup>22</sup> Systems of this kind were a major early focus of research in stellar dynamics, because Schwarzschild's observation that the velocity distribution was ellipsoidal in the solar neighborhood (§4.4.3) led theorists to explore the **ellipsoidal hypothesis** that the distribution was ellipsoidal at all points in the Galaxy. See Chandrasekhar (1942).