

# The Transition to Life

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Chemical Evolution  $\xrightarrow{?}$  Biological Evolution

Interacting Chemicals  
Reproduction of

```
graph LR; A[Interacting Chemicals  
Reproduction of] --> B[Organisms]; A --> C[Natural Selection];
```

Organisms

Natural Selection

# Based on Simplest Life Now:

Need:

- |                                 |                                       |
|---------------------------------|---------------------------------------|
| 1. Nucleic Acids<br>Information | Replicable                            |
| 2. Proteins                     | Enzymes (Catalysts)                   |
| 3. Lipids                       | Membranes (Enclosure)                 |
| 4. Carbohydrates<br>(Pigments)  | Energy Storage<br>(Energy Conversion) |

Too much to ask of chemical evolution

⇒ Protolife?

# Protolife

1. “Virus”      Free living but equivalent in complexity

Protein + Nucleic Acid + Supply by Environment

Genetic Code

2. Protein Protolife

Protein → Self Replication?

3. Nucleic Acid Protolife

RNA → Self Catalysis?

## 4. Something Else

Minerals

Clay Layers

Mineral - Molecule

Pyrite

Thioesters

Genetic Takeover

?  $\longrightarrow$  RNA  $\longrightarrow$  DNA

# Protein-Based Protolife

## 1. Proteinoid microspheres - Sidney Fox

Amino Acids + Dry Heat  $\longrightarrow$  Proteinoids

(Tide) (Hot Tidepool?)  $\downarrow$  H<sub>2</sub>O

Microspheres

Protocells

Protolife?

Can Add Proteinoid

Split

Bud

Form Chains

(Look like life)

Grow }

Divide “Reproduce”

Bud

Like Bacteria

But “Reproduction” not exact

Later incorporate Nucleic Acids

Proteinoid  $\longrightarrow$  Cells  $\longrightarrow$  Genes

# Nucleic Acid Based Protolife

RNA → Genes → Protein → Cells

Self-replicating RNA molecules

Experiment by Sol Spiegelman

RNA from Q<sub>β</sub> Virus - parasite on bacteria

Injects RNA - Bacterium makes replicase

Enzyme to Replicate RNA

RNA multiplies, using activated nucleotides in

Bacterium → new viruses

In Test Tube: Template RNA,  
Replicase,  
Activated Nucleotides (ATP,  
CTP, GTP, UTP)

⇒ RNA copied without machinery of  
cell

Variation: No template RNA

Replicase<sup>↑</sup> made RNA from nucleotides  
Protein

Manfred Eigen - further  
experiments with RNA  
in test tube:

Mutant RNA strands compete

Degrade to smallest (~ 200 nucleotides)

RNA that replicase could recognize

(Monster - Selfish RNA)

RNA can do self-catalysis in some cases

Could this have led to self  
replication?

# Eigen scenario

1. A replicating RNA molecule forms by chance (random replicator - not a gene)  
ribozyme (catalyst, made of RNA)
2. Family of **similar** RNA's develops (quasispecies)
3. Connection to proteins  
(quasispecies specialize to make parts of protein)

4. Complex interactions (hypercycles)
5. Use lipids to make protocells
6. Competition leads to biological evolution

# Problems with Nucleic Acid First Scenario

1. Hard to get monomers
2. Unlikely to link correctly
3. Need existing proteins and lipids
4. Hypercycles subject to instabilities

N = size of molecular population

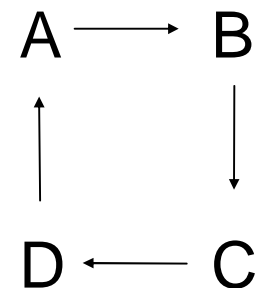
If N small

↓  
Population Collapse

If N large

↙  
Selfish RNA

↘  
Short Circuit



If  $B \rightarrow D$  Short Circuit

⇒ Only narrow range of sizes works

# The Origin of the Genetic Code

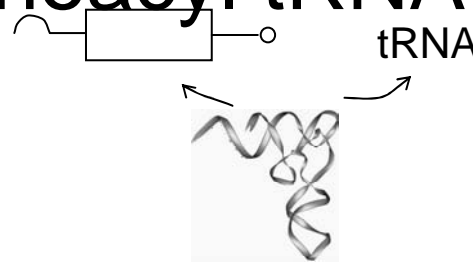
- We need more than **either** protein or RNA protolife
- Need interaction via genetic code
- Need **translation**
- Consider first a scenario by R. Shapiro

# Shapiro's Fable

The case for the “chicken”

Protein first  $\Rightarrow$  replication problem

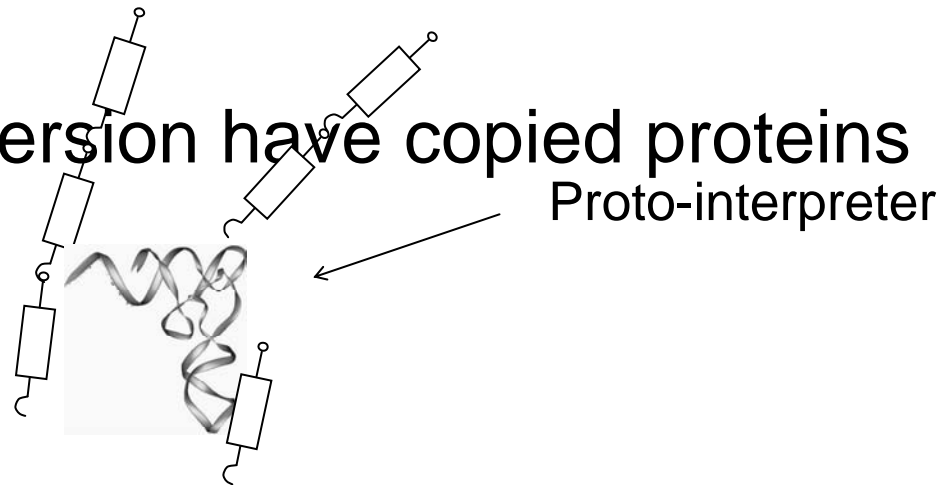
“interpreters” aminoacyl tRNA synthetases

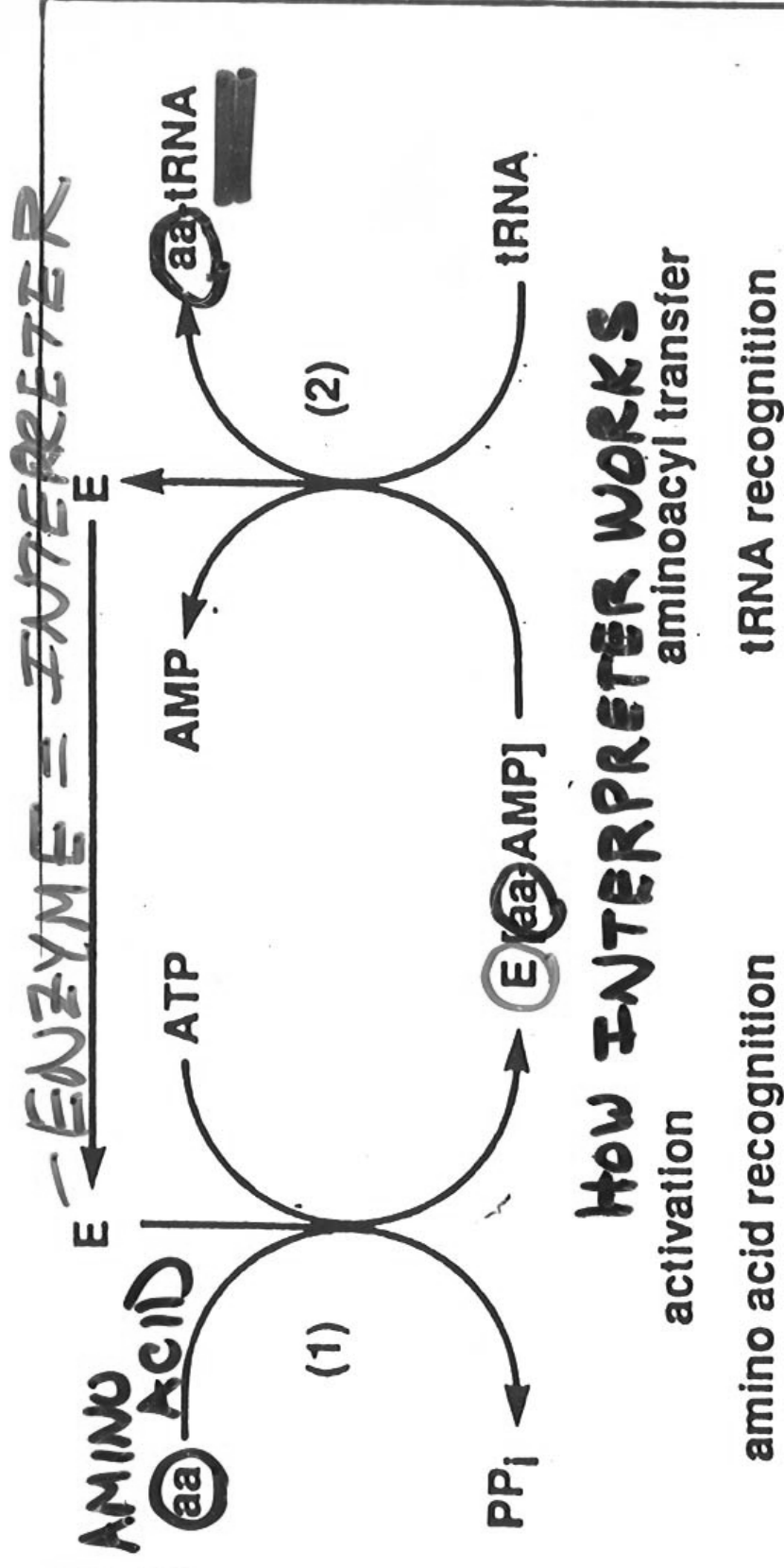


Match tRNA &

Amino acids

Could an earlier version have copied proteins directly?





**Fig. 1** The two steps of the reaction catalysed by aminoacyl-tRNA synthetases. The tRNA is recognized in the second step (through the features designated paracodon in this article) by the enzyme carrying a bound aminoacyl-AMP intermediate. Participation of the aminoacyl group in the recognition process is thus an attractive possibility.

1. Early Evolution: Start with 4-6 amino acid types, gradually add more enzymes increase in size and catalytic power
2. First use of phosphate as energy? (ATP) or sugar-phosphate chains for construction (Teichoic acids in membranes of some bacteria) (partial  $Q_{\beta}$  replicase)
3. Bases added for structure  
Support for protein synthesis  $\longrightarrow$  ribosome

4. Begin to copy RNA (Full  $Q_{\beta}$  replicase)  
Natural selection  $\longrightarrow$  better ribosome
5. Specialized, Short RNA aided attachment of  
amino acids to proteins; became tRNA
6. Then mRNA - to align tRNA's  
now a separate genetic system that evolves
7. DNA developed from RNA

Shapiro dates last step to prokaryote -eukaryote split (different ways of storing DNA info)

Tests:

1. Synthesize in lab? Not possible yet.
2. Molecular archaeology - vestigial ability of interpreters to recognize amino acids in proteins
3. Survivors of protein era? prions?

# Support for the “chicken”

1. 1988 discovery that interpreter does not use tRNA codon to recognize correct tRNA  
(in some cases)  $\sim 1/2$ 
  - instead a single base pair at the other end of tRNA
  - $\Rightarrow$  simpler, older code
  - second genetic code
  - $\Rightarrow$  connection of interpreter and tRNA more primitive than current code

## 2. Dyson modeling of molecular “populations”

Transition from disorder to order

(non-life)      (life)

Finds number of monomer types likely to be

9 - 11 (ok if used ~ 1/2 of modern proteins)

But nucleotides (only 4) - not enough

Favors protein first

# The Egg Strikes Back

Other work shows some RNA can catalyze  
Non-RNA reactions

1. RNA in ribosome appears to be what  
catalyzes peptide bond formation

Noller, et al. 1992, *Science*, **256**, 1416

2. RNA “ribozyme” catalyzes reactions  
between amino acids and tRNAs

First “interpreter” may have been RNA

Piccirilli, et al. 1992, *Science*, **256**, 1420

—→

# Origin of the Genetic Code

Crucial step in any theory

Allows communication

Nucleic Acids  $\longleftrightarrow$  Proteins

Early versions probably coded fewer amino acids - less specific

Some evidence for RNY and G - C more stable

Purine  
|  
Pyrimidine

Either

⇒ 4 codons GGC

GCC

GAC

GUC

glycine

alanine

aspartic acid

valine

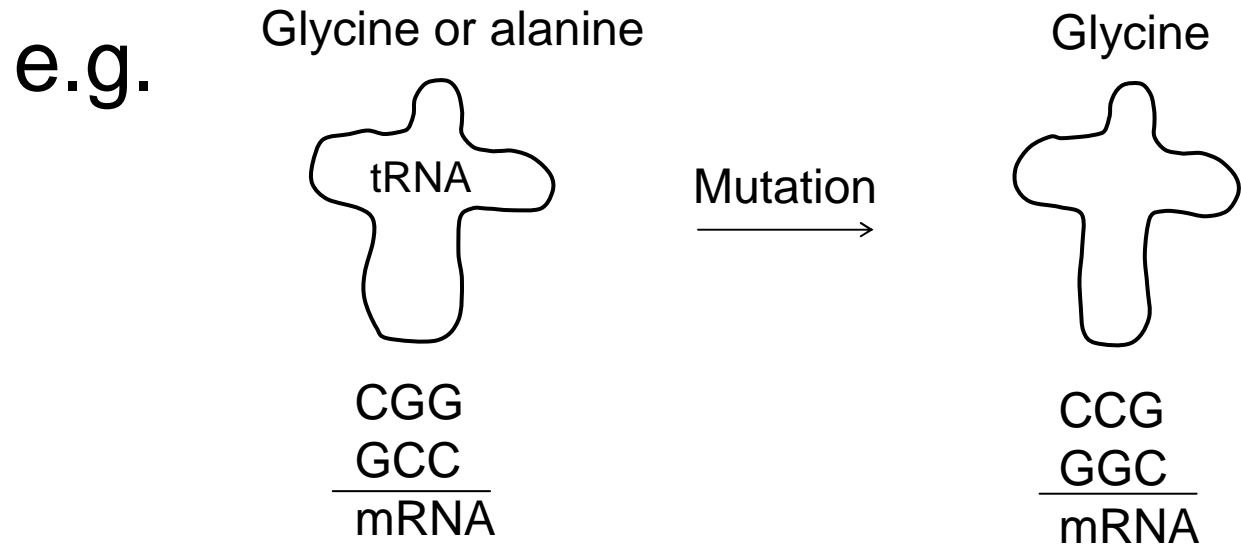
} Common in  
Miller Urey  
and Meteorites

Others added later

# Evolution of Genetic Code

Gaining specificity

If early tRNAs carries more than 1 kind of amino acid



Evidence that code has evolved  
Freeland, et al. Tested  $10^6$  other  
codes  
Only one better at minimizing bad effects  
of  
mutations

⇒ Natural Selection

Still Evolving

Some organisms have slightly different  
codes in  
mitochondria or in nucleus

# Other Ideas

- Neither the chicken nor the egg came first
- Transitional forms that were later discarded

# Or was it the “egkin”?

Some experiments with peptide nucleic acid (PNA).

PNA: Peptide backbone with bases

Can act as template for polymerization of RNA  
From activated nucleotides

(Böhler, et al., *Nature*, **376**, 578  
& comments by Piccirilli, pg. 548 } 17 Aug. 1995

PNA could be simpler to form under prebiotic conditions  
Main point is that a simpler thing (not necessarily PNA)  
could have preceded RNA

# Membranes

- Membranes provide enclosure
  - Also fundamental for metabolism
- Membranes never arise from scratch
  - Always passed down and added to
  - All derived from ancestral cell
- T. Cavalier-Smith proposes membranes
  - Plus nucleic acid formed “ob-cell”
  - Merger of 2 ob-cells formed first cell

# Summary of Proto-Life Development

<u>Stage</u>	<u>Proteins</u>	<u>Halfway # 1</u> Peptide Nucleic Acids	<u>Halfway # 2</u> RNA Ribozyme	<u>Nucleic Acids</u>
Monomers	Amino Acids	Bases Amino Acids	Ribose Sugars Bases Phosphates Amino Acids	Ribose Sugars Bases Phosphate
Polymerization	Proteinoids	Short strands of PNA's	Short strands of RNA + amino acids	Short strands of RNA
Replication	?	Affinity for complementary bases + ease of peptide bonding	Affinity for complementary bases	Affinity for complementary bases
Pre-life	Proteinoids + RNA?	Separation of proteins and nucleic acids	Separation of nucleic acids and protein parts	RNA adapts proteinoids as needed
Life	Proteins	Disappears	Disappears	DNA and RNA

# Alternative Ideas

# Alternative Ideas

A different initial genetic substance +  
genetic

takeover

e.g. clay life

Panspermia

Various versions

Creationism

# Clay Life

A.G. Cairns - Smith

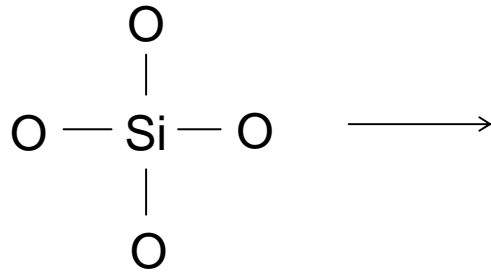
Silicate Life?

Early Genetic Material

$O = Si = O$

but O can make another bond

instead



great variety of minerals

Layers - clay

Also occasional impurity (Al, Mg, ...)

Can grow by adding dissolved material

Tends to copy pattern of impurities in adjacent layers - reproduction?

Defects - different impurity, ...  
(mutations?)

Sheets can separate - move - and then  
“reproduce”

### Advantages

Clay clearly present

Simpler genetic structure

Crystal growth occurs naturally

### Problem

How to get to  
life as we know it

Clay Life  $\xrightarrow{?}$  Life

Clay life begins to synthesize, use  
“organic”

[carbon] molecules

Clays do have some catalytic activity

Genetic takeover

organics  $\longrightarrow$  protein/RNA  
mechanisms

Clay discarded

# Tests

1. Surviving clay life - unlikely
2. New clay life - maybe in some places
3. Demonstrate in lab

# Focus on Energy

G. Wächtershäuser

Inorganic - organic connection

$\text{FeS}_2$  (Iron pyrite)

Attracts negatively charged molecules

Surface catalysis provides energy via formation  
from

$\text{FeS} + \text{H}_2\text{S}$

Scene is hot sulfur vents on sea floor

Some recent successes in simulations

Amino acids formed peptide bonds

# Panspermia

- Life arose elsewhere and was delivered here
  - Original idea was bacterial spores
  - Hoyle and Wickramasinghe
    - Life originates on dust grains, comets, ...
  - May be revived (meteorites from Mars)
- Directed panspermia
  - Crick and Orgel (tongue in cheek)
  - Earth seeded by intelligent ET

# Exotic Life Forms?

## Antidote to Earth Chauvinism

1. Different organic molecules (e.g., PNA)  
Rebek's variation  $\longrightarrow$  self replication  
possibility of life based on other polymers
2. Not based on Carbon  
Silicon (Si) instead of Carbon?  
(also 4 bonds)  
& more (135  $\times$ ) more abundant on Earth

# Negatives:

a. C - C bond  $2 \times$  stronger than Si - Si

b. Si - O stronger than Si - Si

→ silicates, not .. Si - Si - Si ...

c. C forms multiple bonds (e.g.  $C \equiv N$ )

Si rarely does

d.  $C + O \longrightarrow CO \text{ or } CO_2$  (gas - further reacts)

$Si + O \longrightarrow SiO_2$  - silicate rocks

⇒ Si unlikely to replace C

$SiO_2$  (clay life)?

### 3. Other Solvents

Earth:            Liquid water            273-373 K

Alternatives:		$T_{\text{freeze}}$	$T_{\text{boil}}$
Ammonia	$\text{NH}_3$	195	240
Methyl Alcohol	$\text{CH}_3\text{OH}$	179	338
Methane	$\text{CH}_4$	91	109
Ethane	$\text{C}_2\text{H}_6$	90	184

Water is better solvent

Also better for temperature regulation

But others could play a role in colder zones  
extend CHZ?

## 4. Non-chemical life?

Disembodied intelligence

Black cloud life?

Other forces

Strong nuclear force?

$$\tau \sim 10^{-15} \text{ s}$$

Gravity?

# Estimates for $f_i$

- Possible range is very large
  - Perhaps  $10^{-6}$  (one in a million) to 1 (all)
- Arguments for large value
  - Life part of overall evolution in complexity
  - Arises naturally from interplay of forces

# Estimates for $f_i$

- Arguments for small value
  - May need more than liquid water
    - Large tides, so large moon
    - Dry land (for polymerization)
  - Life may be a fluke
    - A rare statistical event

# Can we estimate $f_i$ from early origin of life?

Very ancient microfossils (now disputed)

⇒ Life arose as early as  $3 \times 10^9$  yr ago  
[soon after end of heavy bombardment]

Lineweaver & Davis argued:

Early origin ⇒  $f_i > 0.33$

For suitable planets older than  $1 \times 10^9$  yrs.

Statistics from one example!